

To 致： The Hongkong and Shanghai Banking Corporation Limited ("HSBC")
 香港上海滙豐銀行有限公司 (「滙豐」)

NOTES/BONDS/CERTIFICATES OF DEPOSIT (CDs) SALE ORDER
(FOR NON-PERSONAL CUSTOEMR) 債券 / 存款證沽出委託單 (非個人客戶)

Date 日期	day 日 / month 月 / year 年

Special Terms for Notes/Bonds Order 債券買賣特別條款：

- HSBC note/bond/CD trading service is available to customers holding an HSBC Investment Services/Securities Account.
滙豐債券 / 存款證買賣服務只供持有滙豐投資服務 / 證券戶口客戶使用。
- Notes/Bonds/CDs shall be purchased and sold via your HSBC Investment Services/Securities Account.
所有債券 / 存款證將透過滙豐投資服務 / 證券戶口進行買賣。
- If you wish to sell the notes/bonds/CDs purchased through HSBC, HSBC may repurchase them based on the prevailing market price under normal market circumstances, but the buying price may differ from the original selling price due to changes in market conditions.
如您打算出售經滙豐代您購入的債券 / 存款證時，滙豐可在正常市場情況下，按市價進行有關交易。但基於市場變動，買入價與原定的賣出價可能不同。
- The holder of notes/bonds/CDs bears the credit risk of the issuer and has no recourse to HSBC unless HSBC is the issuer itself.
債券 / 存款證持有人須承擔發行者的信貸風險，及不能向滙豐追討任何賠償，除非滙豐本身為該債券的發行者。
- If you have Renminbi note/bond/CD but no longer have eligible Renminbi deposit account, the payment of bond principal and interest will be in Hong Kong Dollar equivalent at the prevailing Renminbi to Hong Kong Dollar exchange rate as determined by the Bank at the time of the payment. Loss may be incurred due to exchange fluctuations.
如您持有人民幣票據 / 債券 / 存款證而沒有有效的人民幣存款戶口，有關本金和利息會由本行決定以當時的人民幣兌換率以港元支付。兌換率波動可能會引致損失。
- These Terms and Conditions shall be governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
本條款所載條款受香港特別行政區法律所管轄，並按其詮釋。
- No person other than you and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Terms and Conditions.
除您及滙豐以外，並無其他人士有權按《合約（第三者權利）條例》強制執行本條款及細則的任何條文，或享有本條款及細則的任何條文下的利益。

Note 注意： Please tick where applicable. 請在適當的地方加上剔號。

Customer Name(s) 客戶姓名				Investment Services/Securities Account Number 投資服務 / 證券戶口號碼			
				Contact Telephone Number 聯絡電話號碼			
Notes/Bonds/ CDs Code 債券代號	Name of Notes/Bonds/CDs 債券名稱		Currency 貨幣	Nominal Value 面值	Price Executed 成盤價格	For Bank Use Only 銀行專用	
						Order Reference Number	Execution Result Checked
Credit my/our 款項存入本人(等)的							
<input type="checkbox"/> Business Integrated Account (please specify Savings/Current Account) 商業綜合戶口 (請說明儲蓄 / 往來戶口)		<input type="checkbox"/> HKD Current Account 港幣往來戶口		<input type="checkbox"/> HKD Savings Account 港幣儲蓄戶口		<input type="checkbox"/> FCY Savings Account 外幣儲蓄戶口	
<input type="checkbox"/> Others 其他 (please specify 請說明) :							
Account Number 戶口號碼				<input style="width: 100%;" type="text"/>			
Note 注意： The credited account should be in the same currency as the relevant Bond's currency. 入賬戶口內的相關貨幣必須與沽出債券的貨幣相同。							
Remarks 備註		Price 價格		Accrued Interest 累計利息		Consideration 價值	

Declaration 聲明

- HSBC is authorised to debit my/our Investment Services/Securities Account with the relevant scrip on or (at HSBC's sole discretion) at any time before the settlement date for effecting the above transaction. I/We acknowledge that I/we shall not be entitled to withdraw or in any way deal with all or any part of the relevant scrip (which shall be held on trust for HSBC) until they are so debited or upon being notified that this Order cannot be carried out for whatever reason.
本人(等)授權滙豐在交收日或以前的任何時間(由滙豐全權決定)從本人(等)的投資服務/證券戶口內扣除交易中有關的債券,本人(等)知悉本人(等)將不能提取或從任何途徑運用全部或部分有關的債券,(該等債券為滙豐以信託形式存放),直至該等債券從戶口中扣除,或滙豐通知此交易因任何理由而不能執行為止。
- HSBC is authorised, on behalf of me/us, to withhold and/or make payment of any taxes or duties payable on or in respect of any notes/bonds to which this Order relates and may deduct my/our above designated account for such purpose.
本人(等)授權滙豐代本人(等)預扣及/或支付與此委託單相關的任何稅項,而相關的款項可從本人(等)上述指定戶口內扣取。
- I/We understand that this Order and the transaction(s) to be effected pursuant to this Order are subject to the Business Integrated Account General Terms and Conditions / Business Investment Securities Account Terms and Conditions and the "Special Terms for Notes/Bonds Order" above. 本人(等)明白此指令及由此指令而產生的交易乃依據商業綜合戶口一般條款/商業投資證券戶口條款及債券買賣特別條款。
- I/We understand that the Bank is not an independent intermediary as (i) the Bank acts as principal in the transaction and the Bank or its associates will benefit from the distribution of the product, and (ii) for products issued by other members of the HSBC Group, the Bank (being a distributor of the products) and the product issuer are both members of the HSBC Group.
本人(等)明白貴行並非獨立中介,(i)貴行在此交易以主事人身分行事,貴行或有關機構將就產品的分銷獲益;及(ii)若有關的產品由其他滙豐集團旗下的成員所發行,貴行(作為產品分銷商)及其產品發行人均屬滙豐集團旗下的成員。
- I/We understand that the remuneration for sales staff is determined based on the staff's overall performance with reference to a wide range of factors, and is subject to review from time to time, for the purpose of encouraging the building of deep, long-lasting and mutually valuable relationships with customers. It is not determined solely on financial performance.
本人(等)明白為鼓勵銷售人員與客戶建立深厚、持久及互利的關係,其薪酬會參照多種因素及因應其整體表現不時檢討,並不單純按其財務表現來釐定。
- I/We confirm that this form is completed by me/us physically in Hong Kong. 本人(等)確認本人(等)是身處在香港完成此表格。

S.V.

X

Customer Signature(s) 客戶簽署

Date 日期:

For Bank Use Only 銀行專用

Nominal Value of Notes/Bonds Held <input type="text"/> <input type="text"/>	Sales Staff ID: Name: Telephone:	Captured by	Approved by
Customer Notified Time: Initial:		Authorised Signature and Branch Chop	