

**ACCOUNT OPENING DOCUMENTS - SOCIETY, CLUB OR ASSOCIATION ACCOUNT**

- ✓ **True copies certified by:**
  - a. A legal professional such as lawyer, notary public regulated by and subject to the supervision of the Law Society Hong Kong, or equivalent;
  - b. An accounting professional such as auditor, CPA, regulated by and subject to the supervision of Hong Kong Institute of Certified Public Accountants, or equivalent;
  - c. A trust company licensed under Hong Kong AML regulations e.g. AMLAO, or equivalent;
  - d. A chartered secretary or chartered governance professional who is current member of the Hong Kong Chartered Governance Institute (HKCGI), or equivalent;
  - e. A member of the judiciary in an equivalent jurisdiction; and
  - f. A justice of the Peace.
- ✓ **Recommended Format:** Certifier must sign and date the copy document (printing his/her name clearly in capitals underneath) and clearly indicate his/her position on it. Certifier must state that it is a true copy of the original (or words to similar effect) and the number of pages to be recorded.
- ✓ **The Chairman and ALL of the Authorised Signatories must be present for the account opening.**
- ✓ **For sample of the required documents, please refer to the below website:**  
[http://www.commercial.hsbc.com.hk/1/2/sampledoc\\_e](http://www.commercial.hsbc.com.hk/1/2/sampledoc_e)

**A. Company Registration Documents**

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| 1. <input type="checkbox"/> Certificate of Registration of A Society obtainable at Licensing from Office of Hong Kong Police                                   |
| 2. <input type="checkbox"/> Application for Registration of a Society / by a Society for Exemption from Registration and/or its current list of office-bearers |
| 3. <input type="checkbox"/> Certificate of exemption from registration under the Societies Ordinance, if applicable  |
| 4. <input type="checkbox"/> Application for Changes of Particulars of Registered and Exempted Societies, if applicable   |
| 5. <input type="checkbox"/> Rules and Regulations / Constitution / By-laws   |
| 6. <input type="checkbox"/> Document of membership of a relevant professional or trade association, if applicable  |
| 7. <input type="checkbox"/> List of all #Managing Members  |

**B. Documents Required from ALL Authorised Signatories, ALL \*Beneficial Owners, ALL ^Persons Purporting to Act and one Controller**

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| 1. <input type="checkbox"/> Government Issued Identification Document |
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**C. Source of Wealth / Source of Fund Supporting Documents**

**Acceptable supporting document(s) for Initial Source of Wealth includes but not limited to:**

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| 1. <input type="checkbox"/> Supporting document for initial funding, donation or membership fees |
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**Acceptable supporting document(s) for Ongoing Source of Wealth includes but not limited to:**

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| 1. <input type="checkbox"/> Supporting document for regular funding, donation, fundraising activities or membership fees |
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**D. Information Required for ALL Beneficial Owners**

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| 1. <input type="checkbox"/> Jurisdiction of Tax Residence |
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**E. Information Required from ALL Beneficial Owners, ALL Persons Purporting to Act, ALL Controllers and ALL Direct Appointees**

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| 1. <input type="checkbox"/> Residential Address and Permanent Address (if different from residential address) |
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## F. Foreign Account Tax Compliance Act (FATCA) Documents

*(Applicable if the scenarios below apply)*

Applicable HSBC declaration form and/or IRS W form to establish your tax status under FATCA; provide one form only. For the form and more information about FATCA, please refer to the HSBC website at [www.fatca.hsbc.com/en/cmb/hongkong](http://www.fatca.hsbc.com/en/cmb/hongkong) or IRS website at [www.irs.gov/FATCA](http://www.irs.gov/FATCA).

- ☐ Please provide an IRS W9 form if you are a United States Person.
- ☐ Please provide an IRS W-8BEN-E if you are not a United States Person and is a Financial Institution without a Global Intermediary Identification Number (GIIN) issued by the US IRS and you are not in the process of applying for one.
- ☐ Please provide an IRS W9 or W-8BEN-E form if you are a disregarded entity.

## G. Common Reporting Standard (CRS) Documents

*(If Applicable)*

Please provide a CRS Self-Certification for each Controlling Person of the entity if you are a Passive Non-Financial Entity or an Investment Entity that is managed by another Financial Institution and located in a non-participating jurisdiction. For the form and more information about CRS, please refer to the HSBC website at <https://www.crs.hsbc.com/en/cmb/hongkong> or HKIRD website at [https://www.ird.gov.hk/eng/tax/dta\\_aeoi.htm](https://www.ird.gov.hk/eng/tax/dta_aeoi.htm).

## H. Payments and Forms for Account Opening

1. ☐ HKD cheque of **HKD10,000** for initial deposit, account application fee and special company account opening charges, where applicable. (Please refer to the latest commercial tariff - <http://www.commercial.hsbc.com.hk/1/2/commercial>)
2. ☐ Mandate, account opening form and signature cards

### **Important Note:**

- (a) If certified documents are not in English or Chinese, an English translation of the same should be provided.
- (b) \*Beneficial owner in relation to a person other than corporation, partnership or trust (if fulfils any of the following criteria): means an individuals who,
  - ultimately owns or controls the persons; or
  - if the person is acting on behalf of another person, mean the other person.
- (c) ^Person Purporting to Act on behalf of the Customer is a person appointed to act on behalf of the entity to establish banking relationships, or may be authorised to give instructions to conduct various activities through the account or the business relationship established. Examples of PPTA include:
  - Person who act on behalf of the entity to establish business relationships with the Bank
  - Authorised Signatories (AS) with sole authority over an account or with unlimited authority in moving funds in and out to third party accounts
- (d) #Managing Members are considered to be individual members who are elected/appointed to participate in the governance of the organisation and will have a significant amount of control over the organisation.
- (e) It is optional to provide minutes of meeting during the account opening.
- (f) The bank may request you and/or connected parties to provide further account opening details and documents when necessary, such as address proof, business proof.
- (g) **Documents submitted will be subject to the review and approval of the Bank. The Bank has the sole and absolute discretion in deciding whether to accept your account opening application and is not obliged to provide any reason for its decision. Documents submitted will not be returned irrespective of whether the account is eventually opened.**

**Thank you for choosing HSBC**

Account Opening Enquiries: (852) 2748 8238