

2022 – Special ESG Themed Unit Trust Offer for Customers of the GBA Sustainability Fund

Terms and Conditions

1. The special Environmental, Social and Governance (“ESG”) themed Unit Trust customer offer is available to all customers of Commercial Banking (“CMB”) of The Hongkong and Shanghai Banking Corporation Limited (“HSBC”) in Hong Kong who have fulfilled all the following requirements (“**Eligible Customers**”):
 - (a) Participated in and drawdown any sustainable finance loan facility falling within the scope of the GBA Sustainability Fund;
 - (b) Not a citizen/resident/tax payer resident of the United States of America (U.S);
 - (c) Has not made any Unit Trust transactions with HSBC within the past 12 months;
 - (d) Subscribes to any one of the eligible ESG themed Unit Trusts from 1 April 2022 to 31 December 2022, both dates inclusive (the “**Promotional Period**”);
2. The approved eligible ESG themed Unit Trusts (“**Eligible Products**”) is subject to change and the list is located at HSBC’s website: “Business”>“Protection & investment”>“Unit Trusts”>“ESG Investing”
3. During the Promotional Period, Eligible Customer’s group will be entitled to a discounted Unit Trust initial subscription charge at 1% (the “**Offer**”).
4. Eligible Customer’s group can enjoy this offer once during the Promotional Period.
5. The Offer is only valid via face-to-face channels, such that orders are placed directly with the Investment Sales Manager.
6. All other fees/charges applicable to the relevant fund (including switching fees, redemption fees, management fees and other fees) will continue to apply.
7. The Offer (or any alternative gift) is non-transferable, non-negotiable and non-refundable. You cannot exchange the Offer (or any alternative gift) for other products, services or discounts or transfer or sell the Offer (or any alternative gift).
8. By registering for, or participating in the Offer, the Eligible Customer’s group agrees to be bound by these terms and conditions.
9. It is the Eligible Customer’s group’s responsibility to comply (at their own expense) with any laws requiring payment of any tax, duty, levy or similar impost relating to the award of the Offer, and HSBC shall have no responsibility in respect thereof.
10. The Offer is provided subject to prevailing regulatory requirements.
11. HSBC may at its discretion alter any part of the Offer and any prevailing open-end funds preferential initial charge offer from time to time.
12. In case of disputes arising out of this Offer, the decision of HSBC shall be final and conclusive.
13. HSBC reserves the right to revise these terms and conditions and/or postpone, suspend or terminate the Offer at any time without prior notice. HSBC accepts no liability for any such change, postponement, suspension or termination, and has the final decision on all matters and disputes arising out of the Offer.
14. No person other than HSBC and the Eligible Customer’s group will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these terms and conditions.
15. In case of discrepancies between the English and Chinese versions of these terms and conditions, the English version shall prevail.
16. These terms and conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region (“**Hong Kong**”). Each of HSBC and the Eligible Customer’s group submits to the non-exclusive jurisdiction of the courts of Hong Kong but these terms and conditions may be enforced in the courts of any competent jurisdiction.
17. The information is not and should not be construed as an offer to buy or sell any investment products, and should not be considered as investment advice. You should carefully consider whether any investment products are appropriate in view of your investment experience, objectives, financial resources and relevant circumstances.
18. Investment involves risk. Investors should not only base on this material alone to make investment decisions. Please refer to the offering documents of the respective funds for details, including risk factors. The price of units or shares and the income from them may go down as well as up and any past performance figures shown are not indicative of future performance.

